

Exhibit 300: Capital Asset Plan and Business Case Summary**Part I: Summary Information And Justification (All Capital Assets)****Section A: Overview (All Capital Assets)**

- 1. Date of Submission:** 2010-03-17 14:11:31
- 2. Agency:** 024
- 3. Bureau:** 50
- 4. Name of this Investment:** CBP - Automated Export System (AES) (2011)
- 5. Unique Project (Investment) Identifier:** 024-50-01-02-01-5058-00
- 6. What kind of investment will this be in FY 2011?:** Operations and Maintenance
 - Planning
 - Full Acquisition
 - Operations and Maintenance
 - Mixed Life Cycle
 - Multi-Agency Collaboration
- 7. What was the first budget year this investment was submitted to OMB? ***
- 8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap; this description may include links to relevant information which should include relevant GAO reports, and links to relevant findings of independent audits.**

The Automated Export System (AES) is the cornerstone of the Customs and Border Protection Outbound Process. CBP developed AES to assist in the enforcement of export laws, improve data collection for trade statistics, and facilitate export trade. AES provides for the electronic filing of the Shipper's Export Declaration (SED) and electronic filing of transportation (manifest) information. As the primary export information gathering and processing system, AES was developed through cooperative efforts with CBP, the Foreign Trade Division of the U.S. Census Bureau, the Department of Commerce Bureau of Industry and Security, the State Department's Directorate of Defense Trade Controls, other federal agencies and private industries with export missions. The AES system electronically collects key export data from exporters/exporters' agents, and Outbound carriers.

 - a. Provide here the date of any approved rebaselining within the past year, the date for the most recent (or planned) alternatives analysis for this investment, and whether this investment has a risk management plan and risk register.**
- 9. Did the Agency's Executive/Investment Committee approve this request? ***
 - a. If "yes," what was the date of this approval? ***
- 10. Contact information of Program/Project Manager?**
 - **Name:** *
 - **Phone Number:** *
 - **Email:** *
- 11. What project management qualifications does the Project Manager have? (per FAC-P/PM)? ***
 - Project manager has been validated according to FAC-PMPM or DAWIA criteria as qualified for this investment.
 - Project manager qualifications according to FAC-P/PM or DAWIA criteria is under review for this investment.

- Project manager assigned to investment, but does not meet requirements according to FAC-P/OM or DAWIA criteria.
- Project manager assigned but qualification status review has not yet started.
- No project manager has yet been assigned to this investment.

12. If this investment is a financial management system, then please fill out the following as reported in the most recent financial systems inventory (FMSI):

Financial management system name(s)	System acronym	Unique Project Identifier (UPI) number
*	*	*

a. If this investment is a financial management system AND the investment is part of the core financial system then select the primary FFMI compliance area that this investment addresses (choose only one): *

- computer system security requirement;
- internal control system requirement;
- core financial system requirement according to FSIO standards;
- Federal accounting standard;
- U.S. Government Standard General Ledger at the Transaction Level;
- this is a core financial system, but does not address a FFMI compliance area;
- Not a core financial system; does not need to comply with FFMI

Section B: Summary of Funding (Budget Authority for Capital Assets)

1.

Table 1: SUMMARY OF FUNDING FOR PROJECT PHASES (REPORTED IN MILLIONS) (Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)									
	PY1 and earlier	PY 2009	CY 2010	BY 2011	BY+1 2012	BY+2 2013	BY+3 2014	BY+4 and beyond	Total
Planning:	*	*	*	*	*	*	*	*	*
Acquisition:	*	*	*	*	*	*	*	*	*
Subtotal Planning & Acquisition:	*	*	*	*	*	*	*	*	*
Operations & Maintenance:	*	*	*	*	*	*	*	*	*
Disposition Costs (optional):	*	*	*	*	*	*	*	*	*
SUBTOTAL:	*	*	*	*	*	*	*	*	*
Government FTE Costs should not be included in the amounts provided above.									
Government FTE Costs	*	*	*	*	*	*	*	*	*
Number of FTE represented by Costs:	*	*	*	*	*	*	*	*	*
TOTAL(including FTE costs)	*	*	*	*	*	*	*	*	*

2. If the summary of funding has changed from the FY 2010 President's Budget request, briefly explain those changes:

*

Section C: Acquisition/Contract Strategy (All Capital Assets)

1.

Table 1: Contracts/Task Orders Table

Contract or Task Order Number	Type of Contract/Task Order (In accordance with FAR Part 16)	Has the contract been awarded (Y/N)	If so what is the date of the award? If not, what is the planned award date?	Start date of Contract/Task Order	End date of Contract/Task Order	Total Value of Contract/Task Order (M)	Is this an Interagency Acquisition? (Y/N)	Is it performance based? (Y/N)	Competitively awarded? (Y/N)	What, if any, alternative financing option is being used? (ESPC, UESC, EUL, N/A)	Is EVM in the contract? (Y/N)
HSBP1006J09746	T&M: Time & Materials	Y	2007-12-23	2007-12-23	2008-12-22	\$3.5	*	*	*	*	*
HSBP1006J09746	T&M: Time & Materials	Y	2008-12-23	2008-12-23	2009-12-22	\$3.5	*	*	*	*	*
HSBP1006J09746	T&M: Time & Materials	Y	2009-12-23	2009-12-23	2010-12-22	\$3.5	*	*	*	*	*

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:

*

3. Is there an acquisition plan which reflects the requirements of FAR Subpart 7.1 and has been approved in accordance with agency requirements? *

a.If "yes," what is the date? *

Section D: Performance Information (All Capital Assets)

Table 1: Performance Information Table

2006	Protect our Nation from Dangerous People	*	*	transactions serviced	fy2005 avg. of 1.00m trans/mo.	maintain 1.0m trans/mo.	objectvie met: aes averaged 1.1m transactions/mo which is 110% of fy2005 monthly transaction average
2006	Protect our Nation from Dangerous People	*	*	avg. time per transaction			

Table 1: Performance Information Table

Part III: For "Operation and Maintenance" investments ONLY (Steady State)

Section A: Cost and Schedule Performance (All Capital Assets)

1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline								
Description of Milestones	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
AES Development/ O&M	\$36.6	\$36.6	1994-10-01	1994-10-01	2004-09-30	2004-09-30	100.00%	100.00%
Maintenance - AES FY 2005	\$4.3	\$4.3	2004-10-01	2004-10-01	2005-09-30	2005-09-30	100.00%	100.00%
Maintenance - AES FY 2006	\$4.3	\$4.3	2005-10-01	2005-10-01	2006-09-30	2006-09-30	100.00%	100.00%
Maintenance - AES FY 2007	\$4.3	\$4.3	2006-10-01	2006-10-01	2007-09-30	2007-09-30	100.00%	100.00%
Maintenance - AES FY 2008	\$4.3	\$4.3	2007-10-01	2007-10-01	2008-09-30	2008-09-30	100.00%	100.00%
Maintenance - AES FY 2009	\$4.3	\$4.3	2008-10-01	2008-10-01	2009-09-30	2009-09-30	100.00%	100.00%
Maintenance - AES FY 2010	\$2.5	\$2.0	2009-10-01	2009-10-01	2010-09-30		70.00%	70.00%
Maintenance - AES FY 2011	*	*	2010-10-01		2011-09-30		0.00%	0.00%
Maintenance - AES FY2012	*	*	2011-10-01		2012-09-30		0.00%	0.00%
Maintenance - AES FY2013	*	*	2012-10-01		2013-09-30		0.00%	0.00%
Maintenance - AES FY2014	*	*	2013-10-01		2014-09-30		0.00%	0.00%
Maintenance - AES FY2015	*	*	2014-10-01		2015-09-30		0.00%	0.00%
Maintenance - AES FY2016	*	*	2015-10-01		2016-09-30		0.00%	0.00%
Maintenance - AES FY2016-2026	*	*	2016-10-01		2026-09-30		0.00%	0.00%

* - Indicates data is redacted.